

STATE OF NORTH CAROLINA

North Carolina Office of Recovery and Resiliency (NCORR)

Request for Prequalification of General Contractors (“RFPQ5”) Prime Contractors for Residential Construction Services

Request for Qualification #19-RFPQ-00006-DAD

Date of Issue: March 21, 2023

Applications Accepted on an Ongoing Basis

Email Completed Application to: Construction@ReBuild.NC.Gov

PURPOSE

The North Carolina Office of Recovery and Resiliency (NCORR), a division of the North Carolina Department of Public Safety (DPS), is seeking to develop and maintain a list of prequalified prime construction contractors to perform, or cause to be performed: rehabilitation, demolition, and/or elevation/mitigation services in connection with the administration of U.S. Department of Housing and Urban Development ("HUD") and Community Development Block Grant-Disaster Recovery ("CDBG-DR") of eligible structures through NCORR recovery programs.

At times, there may be a need to proceed with awarding a contract without the use of the competitive bidding process. This process is known as assignment. The Program Delivery Office will utilize the prequalified list for assignment work. A project or set of projects with a total or aggregate total under \$250,000 may be assigned to a General Contractor. Project assignments are based upon General Contractor scorecards, capacity, and location. The Program Delivery Office will evaluate all these factors to properly and fairly assign projects in the best interest of the state. Pursuant to NCORR's Special Delegation construction assignment contracts can be entered into up to \$250,000 without competitive bidding.

This **Prequalified General Contractor List is not a contract**. The individual Assignment Contract ("Assignment") will be the contract.

General Contractors that submit an Application to be added to the General Contractor Prequalified List will be expected to accept the terms and conditions of the Contract. By submitting this Application, the Vendor acknowledges they have reviewed the sample Assignment Contract, including the terms and conditions, located at <https://www.rebuild.nc.gov/construction-assignment-list>.

QUESTIONS ABOUT REQUEST FOR PREQUALIFICATION

Written questions regarding this Request for Prequalification for General Contractors will be accepted on an ongoing basis. Questions shall be emailed to Construction@ReBuild.NC.Gov; copy angie.dunaway@ncdps.gov.

Responses to questions will be posted to <https://www.rebuild.nc.gov/construction-assignment-list>. It is NCORR's intent to compile all questions the last day of each month and post an Addendum (if questions are received) the first week of the following month. No information provided orally shall be considered authoritative or binding. Vendors shall rely *only* on written material contained in an Addendum to this RFPQ.

METHOD OF ADDITION TO PREQUALIFICATION LIST

There is no limit on the number of General Contractors (GCs) to be added to the Prequalified List. The process will be open, in that, it will be publicly posted to allow additional GCs the opportunity to be added to the List.

NCORR shall review the applications to confirm that they meet the requirements. For any GC which has previously been prequalified by NCORR that GC will be waived into this Prequalified List, subject to submission of all current licenses, unless that status was rescinded.

The State may request additional formal responses or submissions from any or all GCs for the purpose of clarification or to amplify the materials presented in any part of the Application. GCs are cautioned; however, the State is not required to request clarification, and often does not. Therefore, all **Applications should be complete**.

LICENSE REQUIRED

Vendor must provide a copy of their active North Carolina General Contractor (GC) license with the Application.

REGISTRATION REQUIRED – NC SECRETARY OF STATE, SAM

Vendor is required to have an "ACTIVE" North Carolina Secretary of State and an "ACTIVE" SAM registration.

ASSIGNMENT CONTRACT

The Program Delivery Office will utilize the prequalified list for assignment work. A project or set of projects with a total or aggregate total under \$250,000 may be assigned to a General Contractor. Project assignments are based upon General Contractor scorecards, capacity, and location. The Program Delivery Office (PDO) will evaluate all these factors to properly and fairly assign projects in the best interest of the state. The Program Delivery Office will email the Assignment to the selected General Contractor who will either accept the assignment at the PDO determined cost or decline the assignment. The General Contractor will have 48-hours to inform PDO if they accept the assignment, and to provide all required documentation. See *Assignment Contract* located at <https://www.rebuild.nc.gov/construction-assignment-list> for details.

The bid factor will be set by NCORR and will be applied to the Estimated Cost of Repair ("ECR"). The bid factor will include overhead and profit. The GC will either accept or reject the bid factor. GCs that do not accept the bid factor will not be awarded contracts.

Assigned contracts will be in the form of a Work Order. All Work Orders shall be issued by NCORR in writing, signed by both the GC and NCORR, and shall include a Scope of Services, a list of tasks to be performed by GC, a time schedule, a list of deliverables, if any, and such other information or special conditions as may be necessary for the work requested.

ASSIGNMENT METHODOLOGY

NCORR will award/assign projects only to responsible contractors with adequate capacity. To evaluate capacity, general contractors will be limited to a total number of projects as follows:

No scorecard – 7 projects.

Scorecard below 75 – no more projects.

Scorecard above 75 – the following algorithm will be used:

Total Notice to Proceed (NTPs) Within Period of Performance divided by Total Projects Awarded – if greater than or equal to 50% then eligible for more projects. GC may be awarded new projects as long as the updated algorithm does not equal less than 50%.

For instance: If a GC currently has 50 projects awarded to it, has accepted 30 NTPs and 27 are within the period of performance, then the ratio would be 54% and would be eligible to be awarded more projects. That GC could accept up to 4 more projects because the ratio would become 50% with four more projects (27 projects with NTP divided by 54 awarded projects). Deadlines for accepting NTPs will be addressed in each construction contract.

PREQUALIFICATION LIST RESCISION

Vendor may be removed from the Prequalified List because of poor performance, material breaches of contract, substantiated non-payments to subcontractors/valid payment bond claims, substantiated complaints from homeowners that are serious (e.g., violations of policies concerning alcohol, illegal drugs, possession of firearms, disrespectful behavior, theft of personal property, GC damage to real property not subject to rehabilitation, etc.), False Claim Act violations, and misrepresentation of experience, violations of permits, illegal disposal of hazardous materials, any major OSHA or several minor OSHA violations, significant injuries to any person during construction caused by failure to follow required safety practices, and/or negative GC evaluations.

ATTACHMENT A: QUESTIONNAIRE

This form gathers information about the Vendors seeking to be added to the Prequalified List. **Completing this questionnaire does not guarantee being added to the List.** Evaluation of the submittal shall be performed by the prequalification committee. Vendors are cautioned it is their responsibility to ensure ALL information is provided with the Application and all information conforms to the requirements herein. **Any Application that is incomplete and/or does not meet requirements will be denied.**

SECTION 1. COMPANY INFORMATION

ORGANIZATION

Business Type (check box)

- Corporation Partnership Limited Liability Company Sole Proprietor Joint Venture

Indicate your North Carolina HUB Certification; if applicable (check only one box)

- B** (Black) **HA** (Hispanic) **AA** (Asian American) **AI** (American Indian)
 W (Female) **D** (Disabled) **SED** (Socially Economically Disadvantaged)

Ensure NC HUB certification is ACTIVE prior to certifying to the above question. Contact the NC HUB Office, [NC DOA: Historically Underutilized Businesses \(HUB\)](#), for certification assistance.

- Applied for North Carolina HUB certification; application pending.
 Not a HUB

Is your firm owned or controlled by a parent or any other organization? YES NO

If "YES", describe Ownership:

List all other names your firm has operated as for the past three (3) years:

Has your present company, its officers, owners, or agents ever been convicted of charges relating to conflicts of interest, bribery, or bid-rigging? YES NO

If "YES", describe:

Has your present company, its officers, owners, or agents ever been barred from being awarded public work in North Carolina? YES NO

If "YES", describe:

SECTION 2. BONDING

BOND CAPACITY

Does your company have the ability to bond construction projects?

YES NO

SECTION 3. REGISTRATION

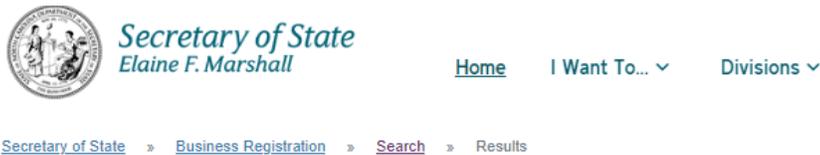
NORTH CAROLINA SECRETARY OF STATE

Is your firm registered with the State of North Carolina to do business? YES NO

Vendor MUST have a "CURRENT-ACTIVE" Secretary of State status.

See Secretary of State website and confirm registration is "Current-Active" PRIOR to submitting this Application. https://www.sosnc.gov/online_services/search/by_title/Business_Registration

EXAMPLE of "Current-Active" status shown below.



Search Results

Records Found: Search Type: Search Criteria:
Search Time: Search Again

If the entity does not have an "Annual Report" button or monitor icon, the entity is not required to file annual reports with the NC Secretary of State's Office.

Entity Name			Actions
Sos Id	Date Formed	Status	Type
Vendor Name			Annual Report →
		Current - Active	

SAM

Does your firm have an **ACTIVE** SAM Registration? YES NO

Vendor MUST have an "**ACTIVE REGISTRATION**" SAM status.

"ID Assigned" or "INACTIVE" SAM status is NOT acceptable.

PRIOR to submitting this Application confirm SAM registration is "ACTIVE".

EXAMPLE of "Active Registration" status shown below.

The screenshot shows the SAM.GOV website interface. At the top left is the SAM.GOV logo. On the right, there are links for Requests, Notifications, Workspace, and Sign Out. Below the logo is a navigation bar with Home, Search, Data Bank, Data Services, and Help. The main search area has a search bar with a dropdown menu set to "Any Words" and the text "e.g. 1606N020Q02". To the right of the search bar are buttons for "Search Results", "Saved Searches", and "Actions". Below the search bar, there is a sidebar on the left with "Select Domain" and "Entity Information" options. The main content area shows "Showing 1 - 1 of 1 results" and a table with columns for Vendor Name, Unique Entity ID, CAGE Code, Physical Address, and Expiration Date. The "Active Registration" status is indicated by a green dot. The "Expiration Date" is highlighted with a red box and shows ", 2023".

SAM Registration:

MUST register with SAM, <https://sam.gov>. SAM registration is FREE.

When registering select "Register for All Awards" and "Include in Public Search".

For assistance with SAM registration contact **The Federal Service Desk** at **866-606-8220** or the **Live Chat [GSAFSD Service Portal Landing - GSA Federal Service Desk Service Portal](#)**

Video on **How to Register with SAM, [Entity Registration - Core Data – YouTube](#)**

SAM Resources, <https://www.rebuild.nc.gov/construction-assignment-list>

SECTION 4. LICENSE

NORTH CAROLINA GENERAL CONTRACTOR (GC)

Does your firm have an **ACTIVE** North Carolina General Contractor (GC) license? YES NO

North Carolina General Contractor License Number: _____

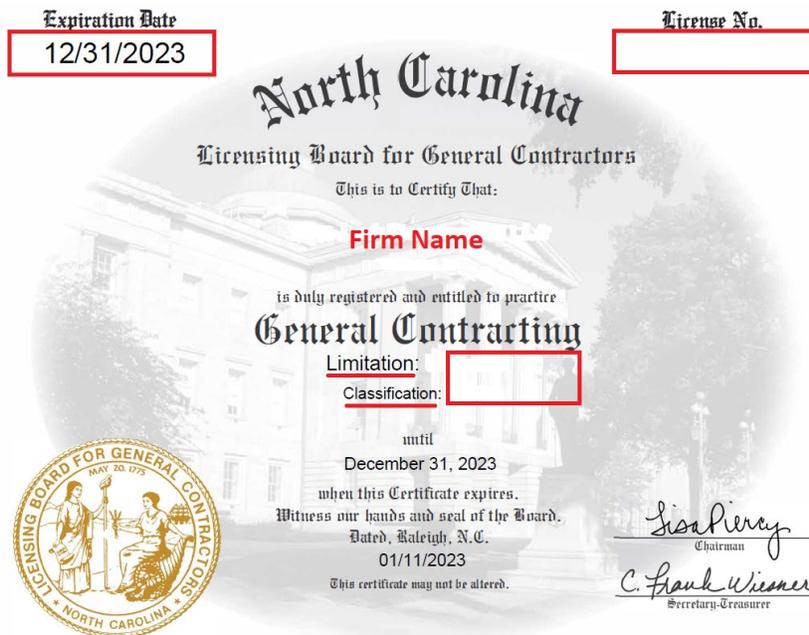
Firm Name on North Carolina General Contractor License: _____

Limitation on North Carolina General Contractor License: _____

Classification on North Carolina General Contractor License: _____

Provide copy of North Carolina **General Contractor license** with Application.

EXAMPLE of GC License shown below.



Has your General Contractor (GC) license ever been denied or revoked? YES NO

If "YES", describe:

SECTION 5. AREAS INTERESTED IN PROVIDING SERVICE

Select the North Carolina counties your company is interested in providing service.

North Carolina Statewide (**All Counties**)

By County: (check the applicable box)

- | | | | | | |
|-------------------------------------|-------------------------------------|-------------------------------------|---------------------------------------|--------------------------------------|--------------------------------------|
| <input type="checkbox"/> Alamance | <input type="checkbox"/> Alexander | <input type="checkbox"/> Alleghany | <input type="checkbox"/> Anson | <input type="checkbox"/> Ashe | <input type="checkbox"/> Avery |
| <input type="checkbox"/> Beaufort | <input type="checkbox"/> Bertie | <input type="checkbox"/> Bladen | <input type="checkbox"/> Brunswick | <input type="checkbox"/> Buncombe | <input type="checkbox"/> Burke |
| <input type="checkbox"/> Cabarrus | <input type="checkbox"/> Caldwell | <input type="checkbox"/> Camden | <input type="checkbox"/> Carteret | <input type="checkbox"/> Caswell | <input type="checkbox"/> Catawba |
| <input type="checkbox"/> Chatham | <input type="checkbox"/> Cherokee | <input type="checkbox"/> Chowan | <input type="checkbox"/> Clay | <input type="checkbox"/> Cleveland | <input type="checkbox"/> Columbus |
| <input type="checkbox"/> Craven | <input type="checkbox"/> Cumberland | <input type="checkbox"/> Currituck | <input type="checkbox"/> Dare | <input type="checkbox"/> Davidson | <input type="checkbox"/> Davie |
| <input type="checkbox"/> Duplin | <input type="checkbox"/> Durham | <input type="checkbox"/> Edgecombe | <input type="checkbox"/> Forsyth | <input type="checkbox"/> Franklin | <input type="checkbox"/> Gaston |
| <input type="checkbox"/> Gates | <input type="checkbox"/> Graham | <input type="checkbox"/> Granville | <input type="checkbox"/> Greene | <input type="checkbox"/> Guilford | <input type="checkbox"/> Halifax |
| <input type="checkbox"/> Harnett | <input type="checkbox"/> Haywood | <input type="checkbox"/> Henderson | <input type="checkbox"/> Herford | <input type="checkbox"/> Hoke | <input type="checkbox"/> Hyde |
| <input type="checkbox"/> Iredell | <input type="checkbox"/> Jackson | <input type="checkbox"/> Johnston | <input type="checkbox"/> Jones | <input type="checkbox"/> Lee | <input type="checkbox"/> Lenoir |
| <input type="checkbox"/> Lincoln | <input type="checkbox"/> McDowell | <input type="checkbox"/> Macon | <input type="checkbox"/> Madison | <input type="checkbox"/> Martin | <input type="checkbox"/> Mecklenburg |
| <input type="checkbox"/> Mitchell | <input type="checkbox"/> Montgomery | <input type="checkbox"/> Moore | <input type="checkbox"/> Nash | <input type="checkbox"/> New Hanover | <input type="checkbox"/> Northampton |
| <input type="checkbox"/> Onslow | <input type="checkbox"/> Orange | <input type="checkbox"/> Pamlico | <input type="checkbox"/> Pasquotank | <input type="checkbox"/> Pender | <input type="checkbox"/> Perquimans |
| <input type="checkbox"/> Person | <input type="checkbox"/> Pitt | <input type="checkbox"/> Polk | <input type="checkbox"/> Randolph | <input type="checkbox"/> Richmond | <input type="checkbox"/> Robeson |
| <input type="checkbox"/> Rockingham | <input type="checkbox"/> Rowan | <input type="checkbox"/> Rutherford | <input type="checkbox"/> Sampson | <input type="checkbox"/> Scotland | <input type="checkbox"/> Stanly |
| <input type="checkbox"/> Stokes | <input type="checkbox"/> Surry | <input type="checkbox"/> Swain | <input type="checkbox"/> Transylvania | <input type="checkbox"/> Tyrrell | <input type="checkbox"/> Union |
| <input type="checkbox"/> Vance | <input type="checkbox"/> Wake | <input type="checkbox"/> Warren | <input type="checkbox"/> Washington | <input type="checkbox"/> Watauga | <input type="checkbox"/> Wayne |
| <input type="checkbox"/> Wilkes | <input type="checkbox"/> Wilson | <input type="checkbox"/> Yadkin | <input type="checkbox"/> Yancey | | |



By executing this Request for Prequalification ("Application"), the Vendor acknowledges they have reviewed the sample Assignment Contract, including the terms and conditions.

COMPLETE/FORMAL NAME OF VENDOR:	SAM.GOV UNIQUE ENTITY ID:
STREET ADDRESS:	OFFICE PHONE NUMBER:
CITY & STATE & ZIP CODE:	CELL PHONE NUMBER:
PRINT NAME & TITLE OF PERSON SIGNING ON BEHALF OF VENDOR:	EMAIL:
VENDOR'S AUTHORIZED SIGNATURE:	DATE:

FOR PROGRAM DELIVERY OFFICE (PDO) USE ONLY:

Request for Prequalification Application

Approved _____ (date)

Denied _____ (date)

Reason(s) Denied:

- Bonding**; Vendor does not have ability to bond construction projects.
- NC Secretary of State**; registration not Current-Active.
- SAM**; registration not Active.
- NC General Contractor License**; not Active and/or copy not provided with Application.

Application Reviewed (Approved/Denied) By: _____

PDO Signature